

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、郵便番、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SEMICONDUCTOR THIN FILM AND

SEMICONDUCTOR DEVICE

上記発明の明細書（下記の欄でX印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約
国際出願番号を _____ とし、
(該当する場合) _____ に訂正されました。

☒ was filed on February 20, 1998
as United States Application Number or
PCT International Application Number
09/027,344 and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、
内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of
the above identified specification, including the claims, as
amended by any amendment referred to above.

私は、連邦規則法第37編第1条56項に定義されると
なり、特許資格の有無について重要な情報を開示する義務が
あることを認めます。

I acknowledge the duty to disclose information which is material to
patentability as defined in Title 37, Code of Federal Regulations,
Section 1.56.

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも一か国を指定している特許協力条約365(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

外国での特許出願
09-055633

(Number)

(番号)

10-044659

(Number)

(番号)

Japan

(Country)

(国名)

Japan

(Country)

(国名)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

February 24, 1997

(Day/Month/Year Filed)

(出願年月日)

February 9, 1998

(Day/Month/Year Filed)

(出願年月日)

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願を提出日より後で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書で私が行なう表明が真実であり、かつ私の入手した情報と私の信じることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の表明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration

(三三三三三三三三三三)

本発明者（以下に署名する者）は、本出願に関する一切の権利を本特許庁長官に付与して進捗する権利を保持する権利を有する者であることをここに宣言し、（本発明者、または本発明者の代理人が本特許庁長官を代表すること）

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

Scott C. Harris Reg. No. 32,030

Send Correspondence to:
Scott C. Harris
FISH & RICHARDSON
4000 Executive Square, Ste. 1400
La Jolla, CA 92037

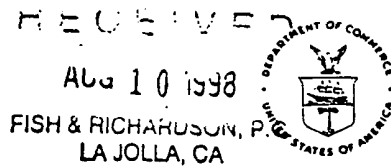
Direct Telephone Calls to: (name and telephone number)
Scott C. Harris
(619) 678-5070

唯一または第一発明者		Full name of sole or first inventor	
Shunpei YAMAZAKI			
発明者の署名	日付	Inventor's signature	Date
		<i>Shunpei Yamazaki</i>	May 8, 1998
住所	Residence		
	Tokyo, Japan		
国籍	Citizenship		
	Japanese		
私書箱	Post Office Address		
	c/o SEMICONDUCTOR ENERGY LABORATORY CO., LTD. 398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan		
第二共同発明者		Full name of second joint inventor, if any	
Hisashi OHTANI			
発明者の署名	日付	Inventor's signature	Date
		<i>Hisashi Ohtani</i>	May 8, 1998
住所	Residence		
	Kanagawa, Japan		
国籍	Citizenship		
	Japanese		
私書箱	Post Office Address		
	c/o SEMICONDUCTOR ENERGY LABORATORY CO., LTD. 398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan		

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

☐ Please see attached page 3a for names, addresses and signatures of additional inventors, if any.



C 7777-2200
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
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Washington, D.C. 20231

AUGUST 04, 1998

PTAS

FISH & RICHARDSON P.C.
SCOTT C. HARRIS, REG. NO. 32,030
4225 EXECUTIVE SQUARE, SUITE 1400
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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 05/21/1998

REEL/FRAME: 9201/0679
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
YAMAZAKI, SHUNPEI

DOC DATE: 05/08/1998

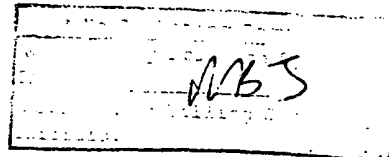
ASSIGNOR:
OHTANI, HISASHI

DOC DATE: 05/08/1998

ASSIGNEE:
SEMICONDUCTOR ENERGY LABORATORY
CO., LTD.
398, HASE, ATSUGI-SHI
KANAGAWA-KEN 243-0036, JAPAN

SERIAL NUMBER: 09027344
PATENT NUMBER:

FILING DATE: 02/20/1998
ISSUE DATE:



9201/0679 PAGE 2

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ASSIGNMENT DIVISION
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SHF T



Assistant Commissioner for Patent

100726845

ument.

- | | |
|---|---|
| <p>1. Name of conveying party(ies):
 Shunpei Yamazaki
 Hisashi Ohtani</p> <p>Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> | <p>2. Name and address of receiving party(ies):
 Semic nductor Energy Laboratory Co., Ltd.
 398, Hase, Atsugi-shi
 Kanagawa-ken 243-0036 Japan</p> <p>Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> |
|---|---|

3. Nature of conveyance:
- ☒ Assignment
 - ☐ Merger
 - ☐ Security Agreement
 - ☐ Change of Name
 - ☐ Other:

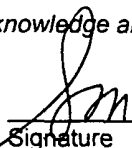
Execution Date: **5/8/98**

4. Application number(s) or patent number(s):
 If this document is being filed with a new application, the execution date of the application is: _____
- | | |
|--|-------------------|
| A. Patent Application No.(s):
09/027,344 | B. Patent No.(s): |
|--|-------------------|

Additional numbers attached? ☐ Yes ☒ No

- | | |
|---|--|
| <p>5. Name/address of party to whom correspondence concerning document should be mailed:</p> <p>Scott C. Harris, Reg. No. 32,030
 Fish & Richardson P.C.
 4225 Executive Square, Suite 1400
 La Jolla, CA 92037</p> | <p>6. Total number of applications/patents involved: 1</p> <p>7. Total fee (37 CFR 3.41): \$40
 <input checked="" type="checkbox"/> Enclosed
 <input type="checkbox"/> Authorized to charge deposit account</p> <p>8. Deposit account number: 06-1050
 If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.</p> |
|---|--|

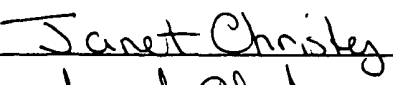
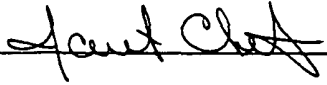
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9. Statement and signature: *To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.*
- | | | |
|--|---|---|
| <p>Scott C. Harris, Reg. No. 32,030
 Name of Person Signing</p> | <p>
 Signature</p> | <p>5/18/98
 Date</p> |
|--|---|---|
- Total number of pages including cover sheet, attachments, and document: **3**

06/02/1998 DCOATES 00000061 09027344
 1 FC:581

40.00 GP

Date of Deposit **5-18-98**
 I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FISH & RICHARDSON P.C.

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the undersigned hereby assign(s), transfer(s) and set(s) over to:

SEMICONDUCTOR ENERGY LABORATORY CO., LTD.

having the address:

398, Hase, Atsugi-shi, Kanagawa-ken 243-0036 Japan

(hereinafter designated as the "ASSIGNEE"), its successors and assigns, the entire right, title, and interest for the United States in the invention, and all applications for patent and any Letters Patent which may be granted therefor, including said application, and all United States Letters Patents which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of said Letters Patent when granted to be held by said ASSIGNEE its successors, assigns or their legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been and enjoyed by Assignor(s) if this assignment had not been made, the application being known by the Title:

SEMICONDUCTOR THIN FILM AND SEMICONDUCTOR DEVICE

Attorney Docket No: 07977/232001

for which the undersigned has/have executed an application for patent in the United States of America on the same day herewith. Where this instrument is not filed concurrently with the application, the following identifying information may be added after execution:

Serial No. 09/027,344

Filing Date: February 20, 1998

1. The undersigned hereby agree(s) to sign and execute any further documents and instruments which may be necessary, lawful and proper in the prosecution of said above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue application or in any amendments, extension or interference proceedings, or otherwise to secure the title thereto to the ASSIGNEE.

2. The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

3. The undersigned agree to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the ASSIGNEE.


4. The undersigned hereby authorize(s) and request(s) the Commissioner of Patents in the United States to issue any and all Letters Patents resulting from said application or any division or divisions or continuing applications thereof to the said ASSIGNEE.

5. The undersigned hereby grant(s) to the firm of Fish & Richardson P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

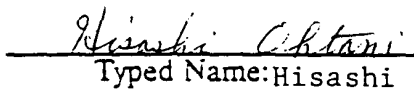
May 8, 1998

Date


Typed Name: Shunpei YAMAZAKI

May 8, 1998

Date


Typed Name: Hisashi OHTANI

Date

Typed Name:

This assignment may be signed before a U.S. Notary Public or before at least two witnesses who also sign here:

Date

Typed Name:

Date

Typed Name: